

**UNITED STATES DISTRICT COURT
SOUTHERN DISTRICT OF NEW YORK**

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SECURITIES AND EXCHANGE COMMISSION,

Plaintiff,

-against-

18 CIVIL 5884 (KPF)

JUDGMENT

ADAM MATTESSICH,

Defendant.

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It is hereby **ORDERED, ADJUDGED AND DECREED:** That for the reasons stated in the Court's Opinion and Order dated November 15, 2022, the Court permanently enjoins Defendant from future violations of the Compensation Record Rule, and imposes a civil penalty of \$180,000.

Dated: New York, New York

November 16, 2022

RUBY J. KRAJICK

Clerk of Court

BY:

K. mango

Deputy Clerk